

# A rotating hairy AdS(3) black hole with only one Killing vector field

Akihiro Ishibashi  
(Kinki University)

One Hundred Years of  
STRONG GRAVITY  
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Based on work with Norihiro Iizuka and Kengo Maeda

arXiv: [1505.00394](https://arxiv.org/abs/1505.00394)

- A brief review of AdS instability
- Attempt to construct a less symmetric AdS BH

# Is AdS stable?

## Positive-Energy Theorem:

If the matter satisfies certain energy condition for all regular, asymptotically AdS initial data

$$E \geq 0$$

And only for exact AdS spacetime  $E = 0$

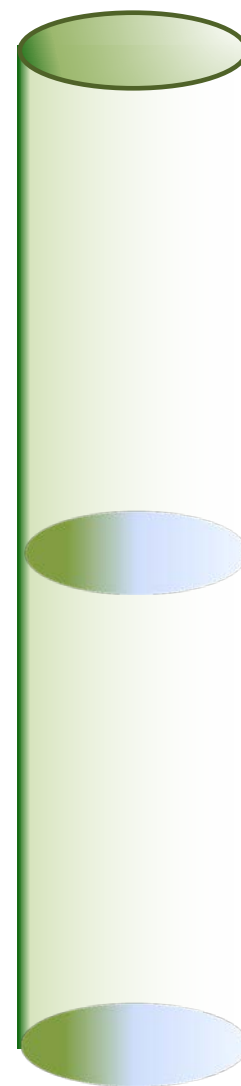


AdS is a *ground state*



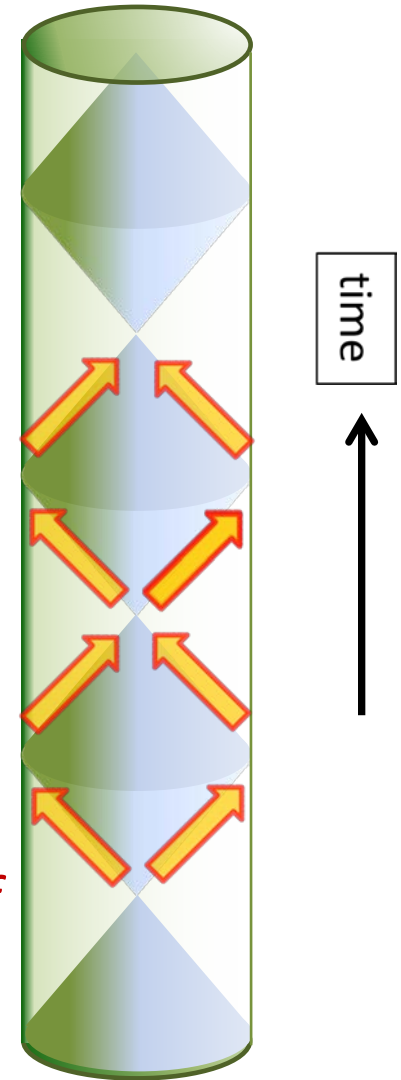
AdS should be *stable(?)*

c.f. Minkowski spacetime is a ground state for all regular, asymptotically flat spacetimes, and it is stable!



# Dynamics in AdS

- Waves can reach AdS-infinity, bounce-off and return into the bulk within finite (coordinate) time
- AdS is like a confined box, whose conformal boundary acts just like a mirror.
- Under reflection boundary condition, No energy dissipation.
- Physical mechanism responsible for the *stability of Minkowski* spacetime is the *dissipation by dispersion*; the energy of perturbations radiates away to infinity. This is not the case for AdS

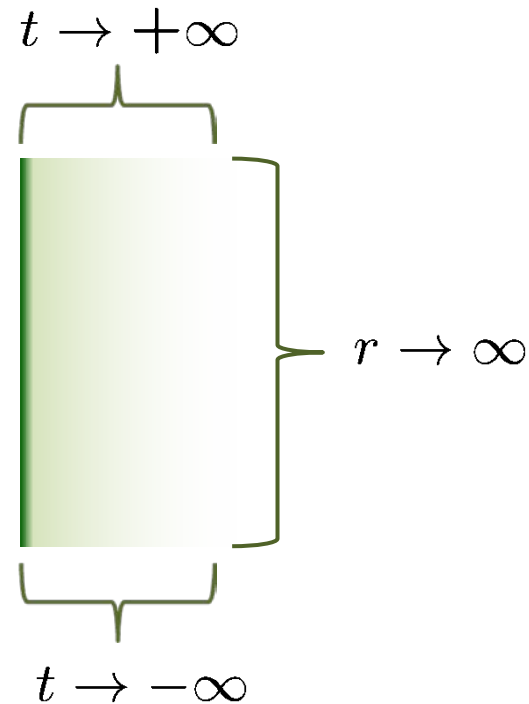


# As a mathematical problem

- AdS is non-globally hyperbolic  
*Is initial-boundary value problem well-posed?*  
yes! (Friedrich 95 AI-Wald04)
- AdS is rigid (M. Anderson 06)

Under AdS boundary conditions that asymptotic timelike-future, past, and spatial infinity be exact AdS, the inside must also be exact AdS

Any finite excitation might explore all configurations (including black holes)



- Based on the linear perturbation analysis

**Conjecture:** Pure AdS is dynamically unstable

(Dafermos-Holzegel 06)

**Conjecture:** All asymptotically AdS spacetimes are dynamically unstable (Holzegel-Smulevici)

- AdS boundary acts like a confining box, hence AdS is like a closed Universe for the fields inside. It should be singular according to **Hawking-Penrose's singularity theorem**  
(Dias-Horowitz-Santos)

⇒ Negative answer to this singularity theorem ( Al-Maeda 12)

# Instabilities in AdS

AdS–infinity plays a crucial role.

- Boundary conditions (AdS itself)
- BF-bound / Condensation (AdS-BHs)
- Weakly turbulent instability (AdS itself)
- Superradiant Instability (Rotating BHs in AdS)

What is a possible endpoint of such instabilities?

BF-bound and instability



# BF bound

Scalar field equation in AdS (in Poincare chart)

$$-\frac{\partial^2}{\partial t^2}\Phi = H\Phi \quad H := -\frac{\partial^2}{\partial z^2} + \frac{\gamma^2 - 1/4}{z^2}$$

Schwarz-inequality

$$\frac{1}{4} \int dz \frac{\Phi^2}{z^2} \leq \int dz \left( \frac{\partial \Phi}{\partial z} \right)^2$$

➡  $0 \leq \int_0^\infty dz \Phi \left( -\frac{\partial^2}{\partial z^2} + \frac{-1/4}{z^2} \right) \Phi \quad (\gamma = 0 \text{ case})$

➡  $0 \leq (\Phi, H\Phi)$

$\gamma^2 < 0$  Negative spectrum : unbounded below  
 $\gamma = 0$  corresponds to **BF-bound**  $m_{\text{BF}}^2 = -\frac{(D-1)^2}{4\ell^2}$

$0 \leq \gamma^2 < 1$  Spectrum depends on the **choice of boundary conditions**

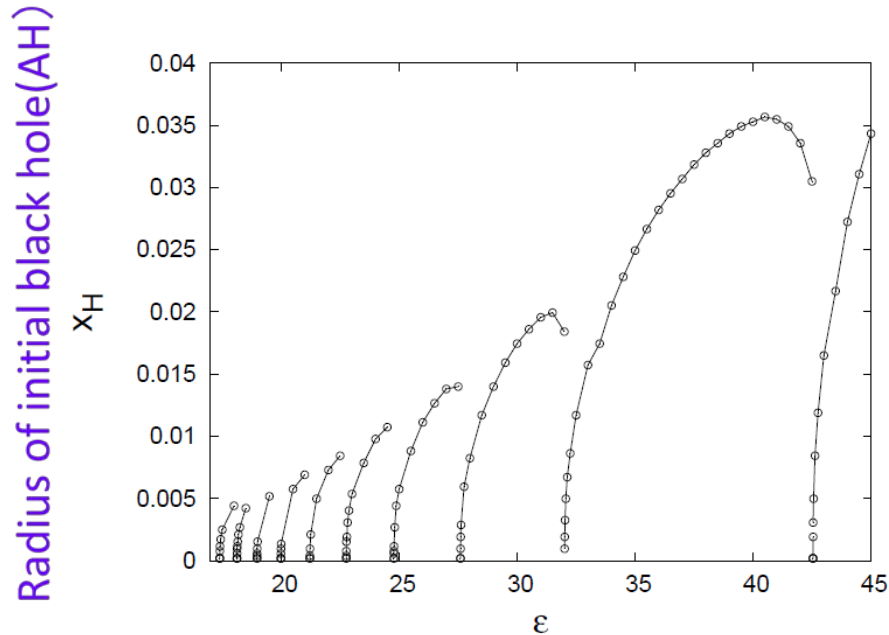
$1 < \gamma^2$  Positive spectrum : only Dirichle boundary conditions allowed

- Hartnoll-Herzog-Horowitz 08  
holographic superconductors
- Dias-Monteiro-Reall-Santos-10 :  
Scalar field condensation instability in AdS
- Durkee-Reall 11  
Instability of Extremal Myers-Perry BHs  
Near-horizon geometry contains AdS(2) as a subspace  
⇒ violation of near-horizon BF-bound for axisymmetric perturbation  
⇒ instability  
  
-- proof [Hollands-Al 14](#)

Turbulent instability

# Turbulent instability

The energy cascades from low frequency to high frequency



Initial small perturbations grow by repeating bounce-off by AdS infinity



Black hole forms even starting from arbitrarily small initial perturbations

Initial data amplitude and a sequence of critical amplitude

$$x_H(\epsilon) \sim (\epsilon - \epsilon_n)^\gamma$$

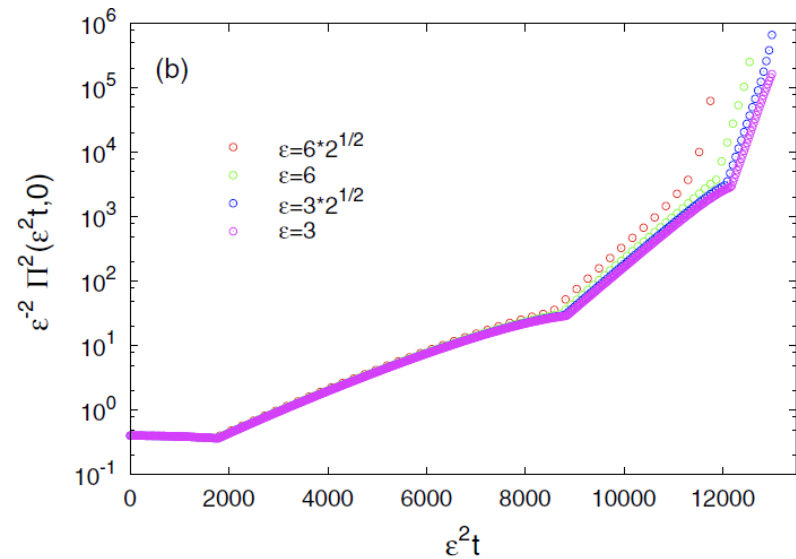
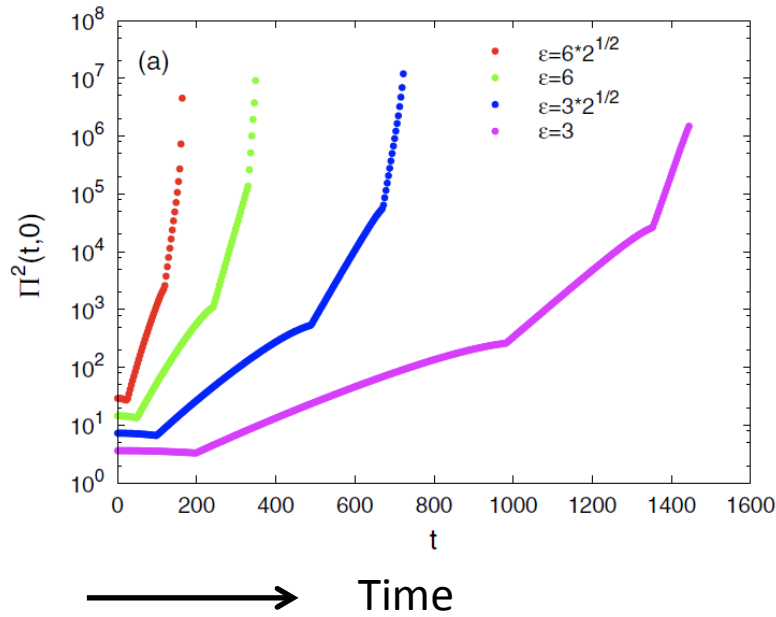
Spherically symmetric, mass-less scalar field

Bizon, Rostworowski 2011 Jalmuzna, Rostworowski, Bizon 2011

Vacuum, gravitational waves Dias, Horowitz, Santos 2011

Initial data:  $\Phi(0, x) = 0, \quad \Pi(0, x) = \varepsilon \exp\left(-\frac{4 \tan^2 x}{\pi^2 \sigma^2}\right)$

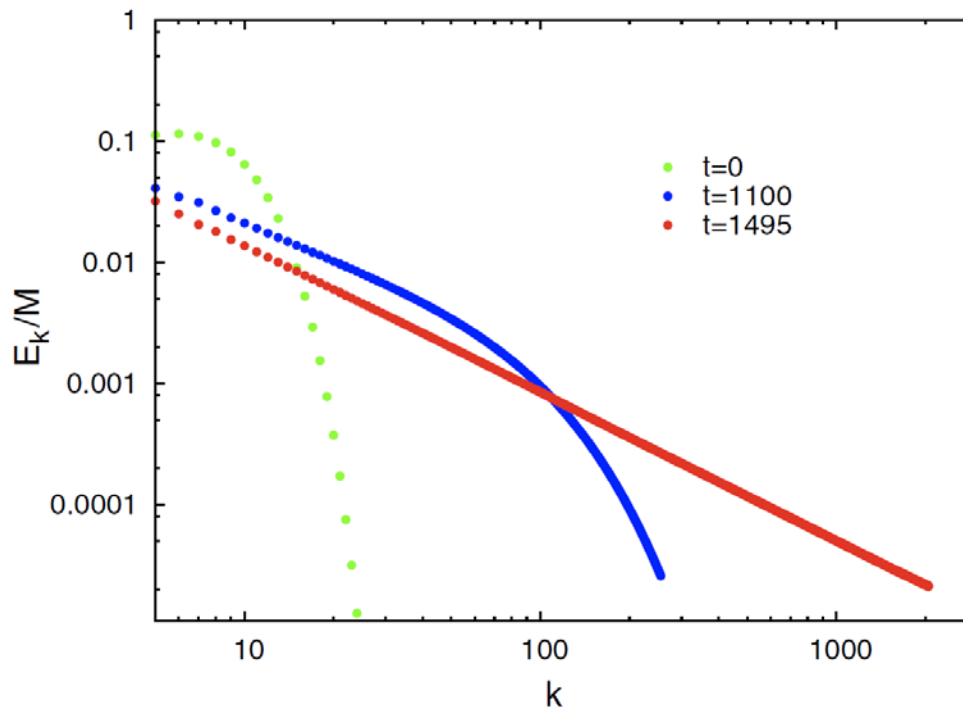
$$\Pi = A^{-1} e^{\delta} \dot{\phi}$$



AdS ( $d > 3$ ) appears to be unstable against the formation of a black hole for a large class of arbitrarily small perturbations

The secular terms are progenitors of higher-order mode mixing that shifts the energy spectrum to higher frequencies

k-mode Energy:  $E_k := \Pi_k^2 + \omega_k^{-2} \Phi_k^2$



Energy shifts from low-to-higher frequencies

# Is AdS generically singular ?

No!

Stability islands of initial data in a sea of turbulent instability

Dias-Horowitz-Marolf-Santos 2012

Buchel-Liebling-Lehner 2013

Maliborski-Rostworowski 2013

e.g. geons, boson stars in AdS ,  
time-periodic regular solutions



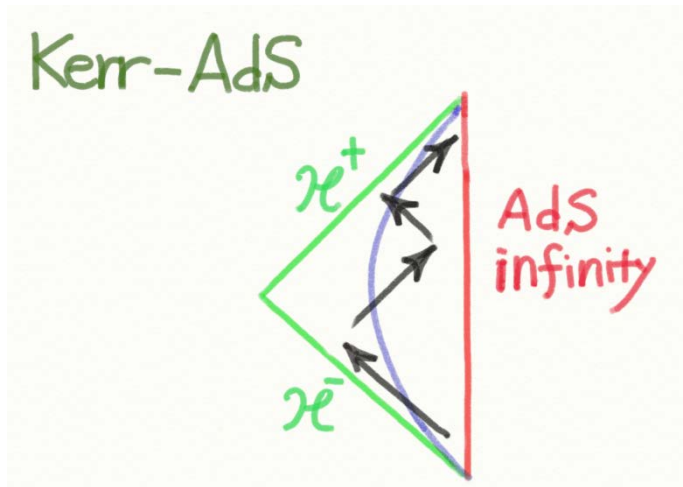
-- indicating there exist generic initial data  
in strongly coupled CFT that never thermalize

# Superradiant instability



# ● Stability in AdS

- Superradiant instability for rotating BHs



Hawking-Reall 99, Cardoso-Dias 04  
Cardoso-Dias-Yoshida 06,  
Kodama 07  
Uchikata-Yoshida-Futamase 09  
Kodama-Konoplya-Zhidenko 09

$$E := - \int_S dS n^a \chi^b T_{ab} \quad \chi^a: \text{co-rotate Killing vector}$$

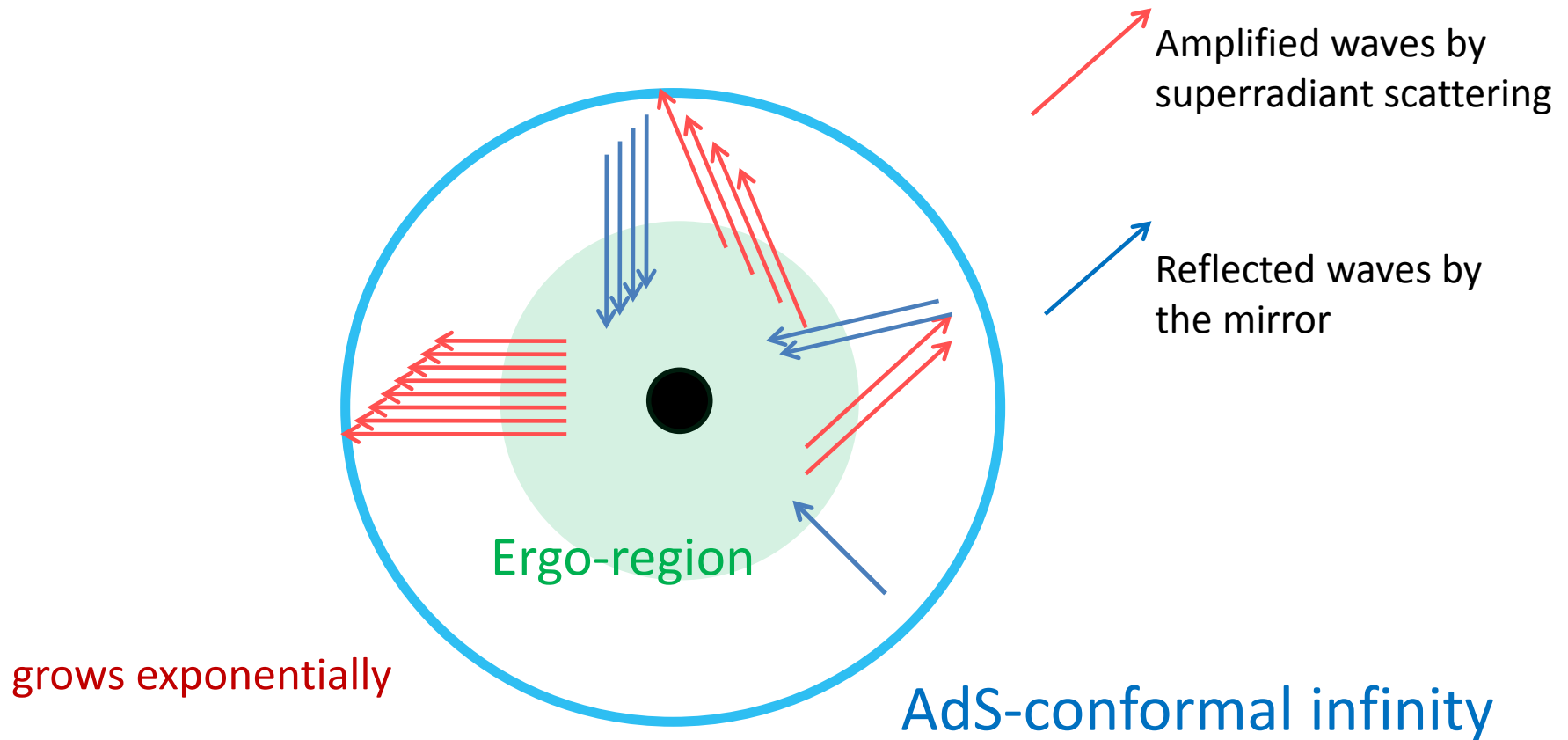
Note:  $\chi^a$  can be non-spacelike if  $a^2 \leq r_H^4 / \ell^2 \implies E \geq 0$

Stability depends also on the boundary conditions.

e.g. Static case: AdS-Schwarzschild Kodama & AI 04

# Superradiant instability of Kerr-AdS

- Amplified scattered waves bounce back and forth between the mirror and the BH



# End point of superradiant instability

- Kunduri-Lucietti-Real 06 :

Grav. instability of High-D Kerr (MP)-AdS

-- suggest: non-axisymmetric BH that is  
stationary only wrt Killing of bounded norm

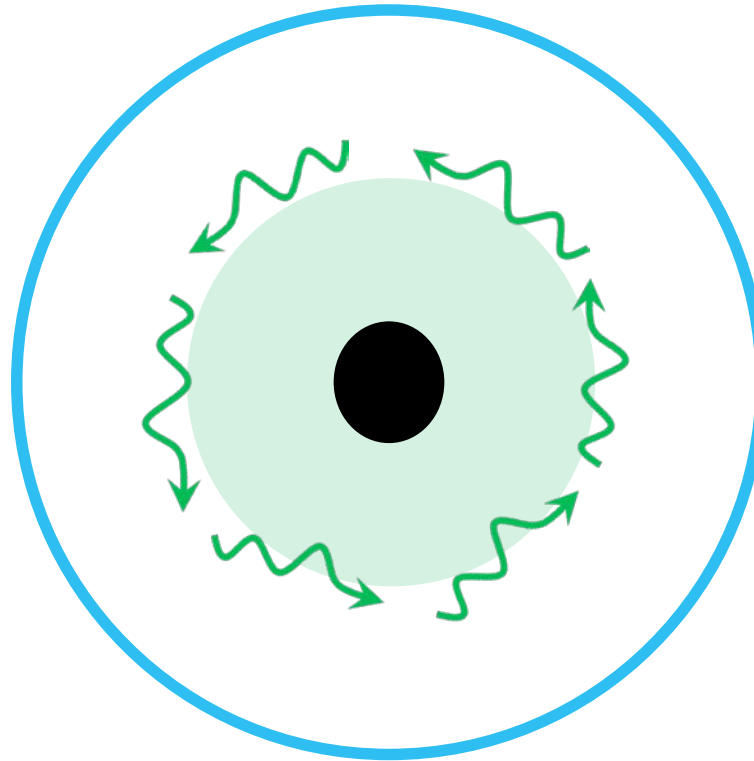
- Cardoso-Dias-Lemos-Yoshida 04, 06:

Scalar / Grav. instability of Kerr-AdS

-- suggest: slightly oblate and coexist in equilibrium with some  
outside radiation.

AdS-boundary is the Einstein universe rotating with  
the speed of light velocity.

Axisymmetry must be broken in AdS !?



$$\Omega \leq 1/\ell$$

Scalar field/grav. waves condensation outside the BH

# Black Hole with only one Killing field

- Dias-Horowitz-Santos 2011:

Complex scalar field violates axial-symmetry, hence the solution is invariant only under a single Killing field.

$$S = \frac{1}{16\pi} \int_{\mathcal{M}} d^5x \sqrt{-g} \left[ R + \frac{12}{\ell^2} - 2 \left| \nabla \vec{\Pi} \right|^2 \right]$$

$$\vec{\Pi} = \Pi e^{-i\omega t + i\psi} \begin{bmatrix} \sin\left(\frac{\theta}{2}\right) e^{-i\frac{\phi}{2}} \\ \cos\left(\frac{\theta}{2}\right) e^{i\frac{\phi}{2}} \end{bmatrix}$$

- Stotyn-Park-McGrath-Mann 2012 Stotyn-Chanona-Mann 2014:

Any (odd-) dimensions  $D \geq 3$

- Herdeiro-Radu 2014:

Asyptotically flat hairy BH with complex massive scalar field

- C. Charmousis' talk

C. Herdeiro's talk

# Black Hole with only one Killing field

Complex scalar field violates axial-symmetry, hence the solution is invariant only under a single Killing field.

$$S = \frac{1}{16\pi} \int_{\mathcal{M}} d^5x \sqrt{-g} \left[ R + \frac{12}{\ell^2} - 2 |\nabla \vec{\Pi}|^2 \right]$$

$$ds^2 = -f g dt^2 + \frac{dr^2}{f} + r^2 \left[ h \left( d\psi + \frac{\cos \theta}{2} d\phi - \Omega dt \right)^2 + \frac{1}{4} (d\theta^2 + \sin^2 \theta d\phi^2) \right]$$

$$\vec{\Pi} = \Pi e^{-i\omega t + i\psi} \begin{bmatrix} \sin\left(\frac{\theta}{2}\right) e^{-i\frac{\phi}{2}} \\ \cos\left(\frac{\theta}{2}\right) e^{i\frac{\phi}{2}} \end{bmatrix}$$

Killing horizon :  $K^a = t^a + \Omega_H \varphi^a$        $t^a$  and  $\varphi^a$  are not Killing fields

The metric itself admits more than one Killing field

## Our purpose

Attempt to construct a rotating AdS black hole whose **metric** admits only a single Killing field



# Setup

- 3-dimensions w/ massive real scalar field

$$L = R + \frac{2}{l^2} - 2(\nabla_\mu \phi \nabla^\mu \phi + m^2 \phi^2 + \eta \phi^4)$$

- Nonlinear perturbation from rotating BTZ

$$ds^2 = -\frac{(r_+^2 - r_-^2)^2 z}{l^2(1-z)(r_+^2 - r_-^2 z)} dt^2 + \frac{l^2}{4z(1-z)^2} dz^2 \\ + \frac{r_+^2 - r_-^2 z}{1-z} \left( d\varphi - \frac{r_+ r_- (1-z)}{l(r_+^2 - r_-^2 z)} dt \right)^2,$$

$z = 1$  at AdS-infinity

# Strategy

- Under general boundary conditions  
Identify the marginally stable solution.
- Perturbatively construct a rotating BH upto  $O(\epsilon^4)$   
of the scalar field amplitude  $\epsilon$
- The lumps of non-linearly perturbed geometry admits  
only one Killing vector field that corotates with the BH
- The entropy of our hairy BH is larger than the entropy  
of BTZ with the same mass and angular momentum

# Behavior of scalar field near AdS-infinity

$$\phi = \text{Re}[\Pi_1(z)] \cos(\omega t - k\varphi)$$

Under in-going boundary condition at the horizon

$$\Pi_1 \simeq \alpha(1 - z)^{\frac{1-\sigma}{2}} + \beta(1 - z)^{\frac{1+\sigma}{2}}$$

$$\sigma = \sqrt{1 + m^2 l^2}$$

BF-bound corresponds to  $m^2 l^2 = -1$

Horizon Killing field  $K^a = \partial_t + \Omega \partial_\phi$  is everywhere causal  $K_a K^a \leq 0$

No Superradiant instability in the standard sense  
under Dirichlet boundary condition  $\alpha = 0$

# General boundary conditions and Instability in BTZ

For  $-1 < m^2 l^2 < 0$  one can choose general boundary conditions

$$\alpha = \kappa^{-1} \beta$$

that correspond to adding a double-trace interaction

$$\sim (1/2\kappa^2) \int dx^2 \mathcal{O}^2$$

to the boundary theory:  $\mathcal{O}$  is a operator dual to  $\phi$

Note: depending on the choice of boundary conditions,  
AdS spacetime itself can be unstable...

# General boundary conditions and Instability in BTZ

For  $-1 < m^2 l^2 < 0$  one can choose general boundary conditions

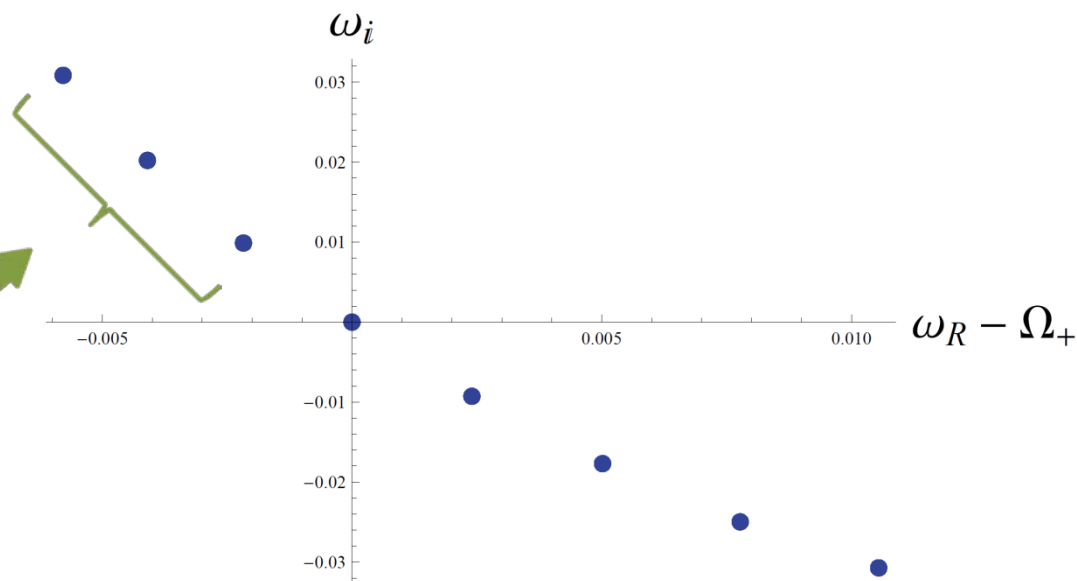
$$\alpha = \kappa^{-1} \beta$$

Our choice:  $l = 1 = k$

$$\left\{ \begin{array}{l} m^2 = -8/9 l^2 \\ \kappa = -0.414 \end{array} \right.$$

Unstable modes:

$$\omega_I > 0 \quad \omega_R < \Omega_+$$



Marginal mode:  $r_+ = 5 \quad r_- = 3 \quad \Omega_+ = 3/5$

# Perturbation from the marginally stable solution

Having the marginally stable solution we expect there to exist a hairy BH dressed with a condensed scalar field

In the probe limit, scalar field depends only on  $\Omega_+ t - \varphi$  and  $z$

**Metric ansatz:** 
$$ds^2 = -f e^{-2\delta} dt^2 + \frac{g'^2 dz^2}{4gf} + g(d\varphi - \Omega dt)^2$$

$$g(z) \quad f(y, z) \quad \delta(y, z) \quad \Omega(y, z) \quad y := \omega_* t - \varphi$$

**Perturbative expansion:**

$$\left\{ \begin{array}{l} \phi(y, z) = \epsilon \phi_1(y, z) + \epsilon^3 \phi_2(y, z) + \dots \\ F = F_0(y, z) + \epsilon^2 F_1(y, z) + \epsilon^4 F_2(y, z) + \dots \\ \omega_* = \frac{3}{5l} + \epsilon^2 \omega_1 + \dots \end{array} \right. \quad F = f, g, \Omega, \delta$$

## Boundary conditions

$$\lim_{z \rightarrow 1} \Omega = \lim_{z \rightarrow 1} \delta = 0 \quad \text{at AdS-infinity}$$

$$\omega_* = \Omega \Big|_{z=0} \quad \text{Regularity at the horizon}$$

## Expansion in $O(\epsilon^2)$

$$\phi_1 = \Pi_1(z) \cos(ky)$$

$$\left\{ \begin{array}{l} f_1(y, z) = z(P(z) \cos(2ky) + Q(z)) + a_1 f_{\text{BTZ}}^{(1)}(z) \\ \delta_1(y, z) = R(z) \cos(2ky) + S(z), \quad g_1(z) = a_1 g_{\text{BTZ}}^{(1)}(z) \\ \Omega_1(y, z) = zT(z) \cos(2ky) + U(z) \end{array} \right.$$

$(P, R, T)$  decouple from  $(Q, S, U)$  and given by  $T, T', \Pi_1$ , and  $\Pi'_1$

## Master equation

$$zT'' + \left( 4 + \frac{2k^2 l^2}{r_+^2 - r_-^2} - \frac{2r_+^2}{r_+^2 - r_-^2 z} \right) T' - \frac{2r_-^2 (r_+^2 - r_-^2 + k^2 l^2)}{(r_+^2 - r_-^2)(r_+^2 - r_-^2 z)} T = S_T(\Pi_1, \Pi'_1)$$

## Zero mode solutions

$$h(z) = -\frac{k^2 r_-^2}{r_+^2} \int_0^z \frac{\Pi_1^2}{1-z} dz - \frac{4r_+^2}{l^2} \int_0^z (1-z) \Pi_1'^2 dz \\ + \frac{2r_+ r_-}{l} [U(z) - U(0)] - \frac{2r_+^2 (r_+^2 - r_-^2)}{l^2} \left[ \frac{S(z)}{r_+^2 - r_-^2 z} - \frac{S(0)}{r_+^2} \right],$$

$$S = \frac{1}{r_+^2 - r_-^2} \int_z^1 \left[ \frac{k^2 r_-^2 l^2}{2r_+^2} \Pi_1^2 + 2(1-z)(r_+^2 - r_-^2 z) \Pi_1'^2 \right] dz,$$

$$U = \frac{r_+^4 (z-1)B}{(r_+^2 - r_-^2 z)(r_+^2 - r_-^2)} \\ + \frac{z-1}{(r_+^2 - r_-^2 z)(r_+^2 - r_-^2)} \int_0^z (r_+^2 - r_-^2 z')^2 \mathcal{S}_U(z') dz', \\ + \frac{1}{r_+^2 - r_-^2} \int_z^1 (r_+^2 - r_-^2 z')(z'-1) \mathcal{S}_U(z') dz',$$

$$\mathcal{S}_U = -\frac{r_- l k^2}{2r_+ (1-z)(r_+^2 - r_-^2 z)} \Pi_1^2 - \frac{2r_+ r_- (1-z)}{l(r_+^2 - r_-^2 z)} \Pi_1'^2,$$

$$h = zQ + \frac{2(r_+^2 - r_-^2)z}{l^2} \Pi_1 \Pi_1'$$



## Higher order solutions

$$\phi_2(y, z) = \phi_{21}(z) \cos(ky) + \phi_{23}(z) \cos(3ky),$$
$$\mathcal{L}_k \phi_{21} = \mathcal{S}_{\phi 1}, \quad \mathcal{L}_{3k} \phi_{23} = \mathcal{S}_{\phi 3},$$

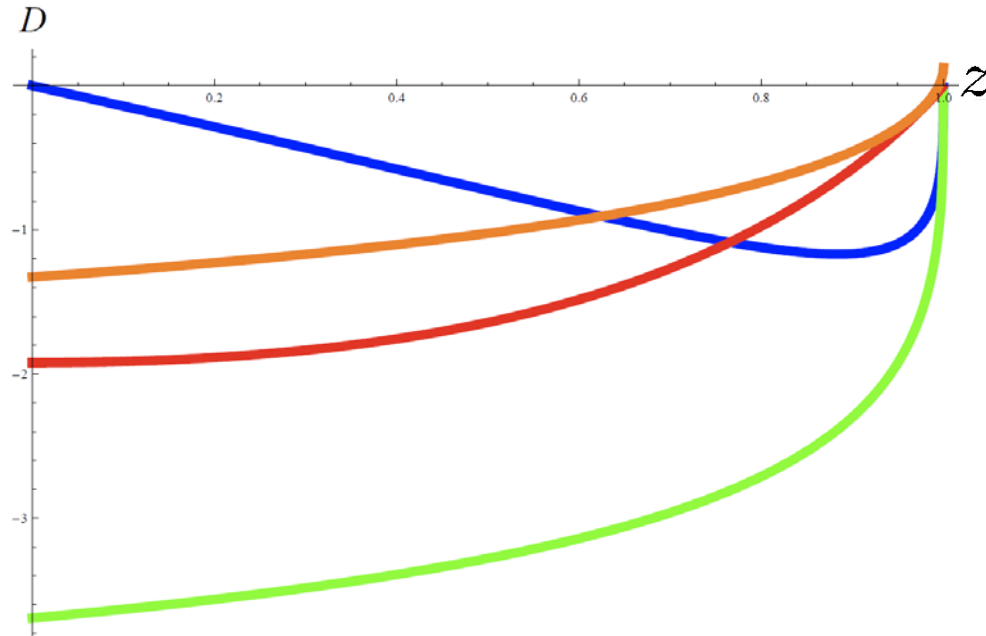


FIG. 2:  $D = \phi_{21}$  (solid, blue),  $D = \phi_{23}/2$  (dashed, green), and  $D = \Omega_{20}$  (dotted, red),  $D = 10^{-3} \cdot h_{20}$  (dotdashed, orange) for the parameter choice  $r_+ = 5$ ,  $r_- = 3$ ,  $k = 1$ ,  $l = 1$ , and  $\eta = 1$ .

## Effective holographic energy

$$T_{ij} = K_{ij} - K h_{ij} - \frac{1}{l} h_{ij} \\ - \sqrt{z}(1-z)\{(1-\sigma)(1-z)^{-\sigma} + 2\kappa\} \tilde{\alpha}^2 \frac{h_{ij}}{l}$$

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$$\phi \simeq \tilde{\alpha}(t, \varphi)(1-z)^{\frac{1}{3}} + \kappa \tilde{\alpha}(t, \varphi)(1-z)^{\frac{2}{3}}$$

## Energy and Angular momentum

$$E_{\text{hair}}^{(2)} := \overline{T^{(2)}}_{tt} \simeq -\frac{h(1)}{2l} \epsilon^2 + \frac{r_+^2 + r_-^2}{r_+ l^3} \epsilon^2 a_1, \\ J_{\text{hair}}^{(2)} := -\overline{T_{t\varphi}^{(2)}} = -\epsilon^2 \left( \frac{r_+^2 - r_-^2}{l} U'(1) - \frac{2a_1 r_-}{l^2} \right).$$

## First law of the hairy BH

$$E_{\text{hair}}^{(2)} = T S_{\text{hair}}^{(2)} + \Omega_+ J_{\text{hair}}^{(2)} \quad T := \frac{r_+^2 - r_-^2}{2\pi r_+ l^2}$$

## Comparison with BTZ BH in $O(\epsilon^4)$

Expand the horizon radius :  $R_+ = r_+ + a_1 \epsilon^2 + a_2 \epsilon^4 + \dots$

$$\text{Set : } S_{\text{BTZ}} = S_{\text{hair}} \quad E_{\text{BTZ}}^{(2)} = E_{\text{hair}}^{(2)} \quad J_{\text{BTZ}}^{(4)} = J_{\text{hair}}^{(4)}$$

$$E_{\text{BTZ}}^{(4)} = \frac{l^3 (E_{\text{hair}}^{(2)})^2}{2r_-^2} - \frac{\epsilon^2 a_1 (r_+^2 + r_-^2)}{r_+ r_-^2} E_{\text{hair}}^{(2)} + \frac{r_- J_{\text{hair}}^{(4)}}{l r_+} \\ + \frac{\epsilon^4 a_1^2}{2l^3} \left( 3 + \frac{r_+^2}{r_-^2} \right) + \frac{\epsilon^4 a_2 (r_+^2 - r_-^2)}{r_+ l^3}$$

Evaluation:

$$\Delta^{(4)} E := E_{\text{hair}}^{(4)} - E_{\text{BTZ}}^{(4)} \simeq -5.8 \times 10^2 \cdot \epsilon^4 < 0$$

**Evaluation:**  $\Delta^{(4)} E := E_{\text{hair}}^{(4)} - E_{\text{BTZ}}^{(4)}$

$$\simeq -5.8 \times 10^2 \cdot \epsilon^4 < 0$$

This in turn implies that if we increase  $E_{\text{hair}}^{(4)}$

so that it becomes equal to  $E_{\text{BTZ}}^{(4)}$

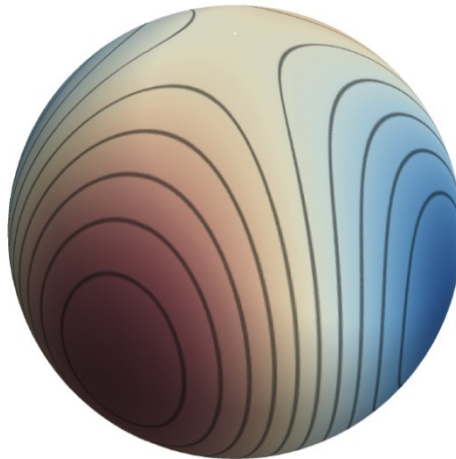
then we have

$$S_{\text{hair}}^{(4)} > S_{\text{BTZ}}^{(4)}$$

- [Dias-Santos-Way 2015](#)

Black resonators: only one Killing field

-- connects Superradiant instability of Kerr-AdS to Turbulent instability



# Summary and discussion

- We have constructed a hairy AdS<sub>3</sub> black hole whose **metric** possesses only one Killing field.
- The entropy of the hairy BH is larger than that of BTZ within our perturbative analysis  
⇒ possible end point? Stable?
- The solution does not dissipate  
⇒ similar to time-periodic solution of Maliborski-Rostworowski with a BH added.