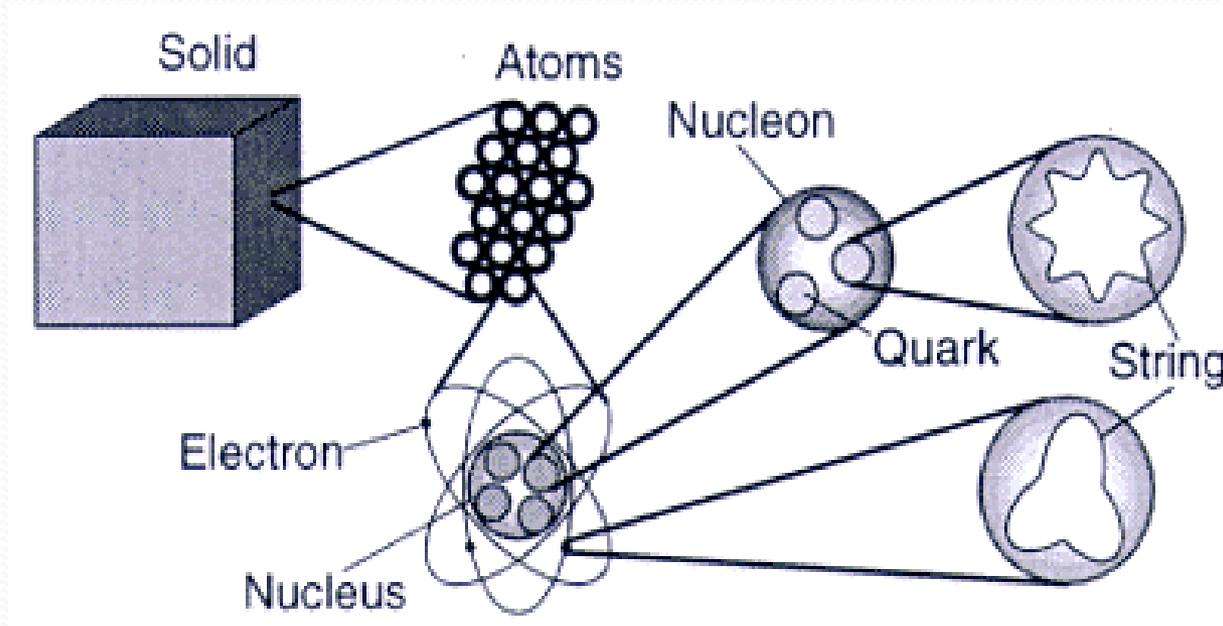


String theory and the AdS/CFT conjecture

1. Introduction to String theory

**Robertus Potting, CENTRA/UAlg
10th School of Astrophysics and Gravitation, IST 2021**

Strings: what are we talking about?



Why String theory?

- **Four known elementary forces:**
 - Electromagnetism
 - Electric & magnetic forces
 - electromagnetic waves
 - Gravity
 - Weak force
 - radioactive decay
 - Strong nuclear force

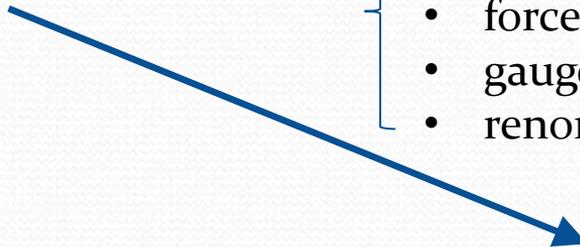
Why String theory?

Basic theoretical formalisms:

Quantum mechanics



Quantum field theory



- uncertainty principle
- probabilistic nature
- zero point energy
- wave function, Schroedinger equation

- theory of quantized fields
- particles = quanta of field fluctuations
- forces transmitted by virtual particles
- gauge symmetries
- renormalization

Electroweak (GWS) model

- describes E&M and weak forces
- EM force transmitted by photon
- weak force transmitted by W, Z bosons
- Higgs mechanism

Quantum Chromo Dynamics

- describes strong nuclear force
- strong force transmitted by gluons

Why String theory?

Classical mechanics



General Relativity



Gravitation

- definite values for position and velocity
- Equations of motion: Newton's laws

- based on equivalence principle
- curved space-time
- particles move along geodesics
- energy-momentum tensor sources curvature

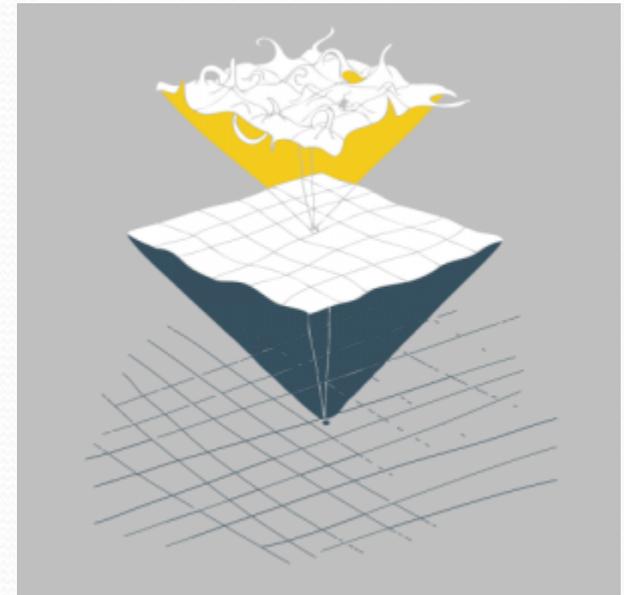
Why String theory?

- **Classical footing:**
 - Gravity
- **Quantum footing:**
 - Electroweak model
 - Quantum Chromodynamics

Difference between frameworks is unelegant and probably **inconsistent**.

Cure: develop **quantum theory of gravity**!

Unfortunately, quantizing GR as a QFT leads to inconsistencies.



Why String theory?

Superstring theory:

- Provides quantum completion of General Relativity
- UV finite at all orders of perturbation theory
- GR is recovered in limit of low energies
- Includes gauge interactions
- Able to yield 4-D theories with realistic matter (chiral fermions)
- Contains specifically new phenomena
- Amounts to a unified framework for “Theory of Everything”
- Potential to give insight into nature of space-time, black-hole information paradox, number of fermion families...

Strings: historical overview

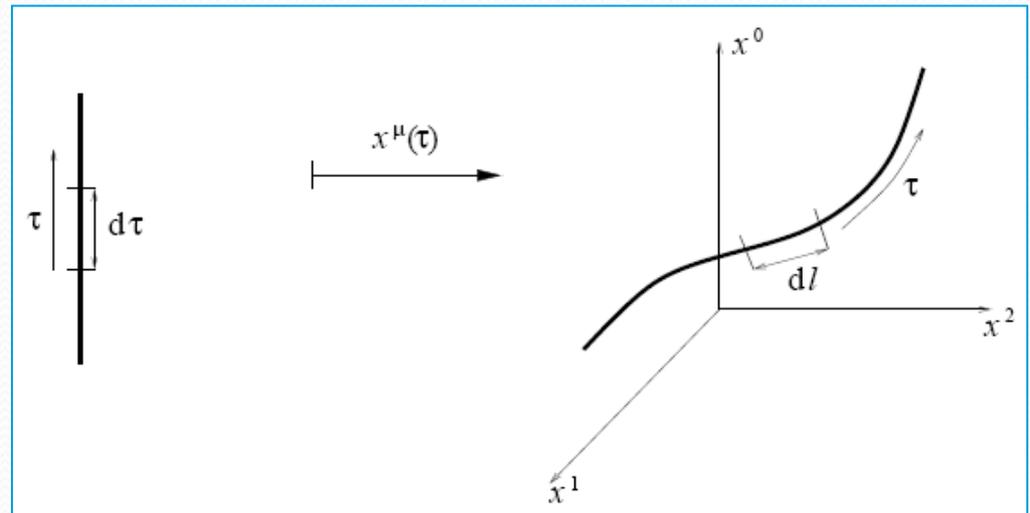
- **1968 – 1972:** String theory (“dual models”) for describing **strong interactions** between quarks
 - String tension = strong interaction scale
- **1973 - :** Strings as a theory of **fundamental interactions**
 - String tension = Planck scale
- **1983 :** Green and Schwarz discover **anomaly cancellation**
- **1995 :** Relevance of **D-branes** (Polchinski)
- **1998 :** **AdS-CFT** correspondence (Maldacena): 2nd part by Miguel Zilhão
- **2003 - :** Further developments:
 - String cosmology; the string theory “landscape”
 - Much interest from the pure mathematics community

String dynamics

- **p-brane**: p-dim extended object moving in D space-time dims
- Spacetime coordinates:

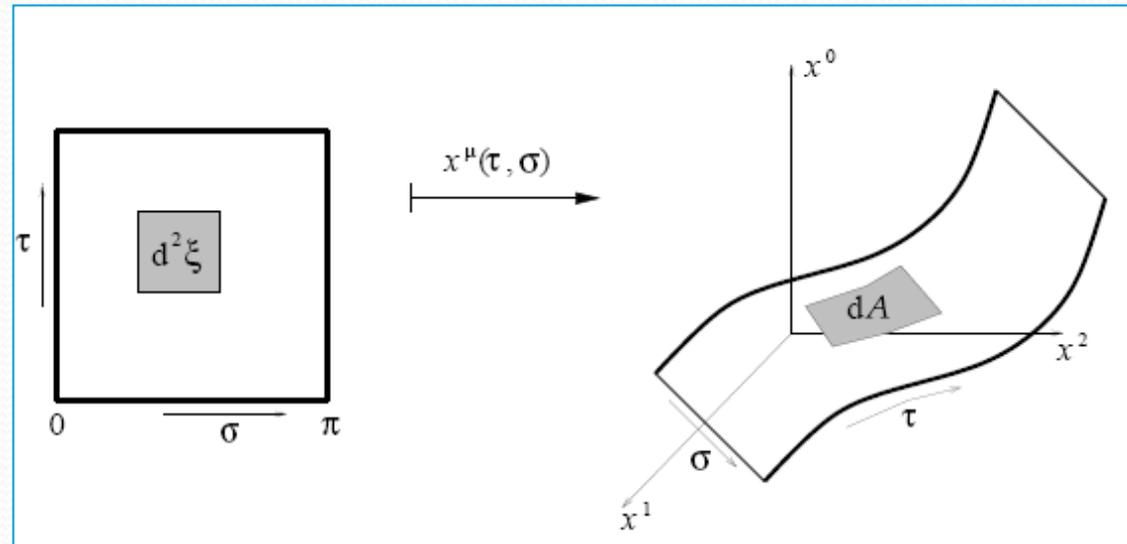
$$X^\mu(\xi_\alpha) \quad \begin{cases} \alpha = 0, \dots, p \\ \mu = 0, 1, \dots, D - 1 \end{cases}$$

Case $p = 0$:
(point particle)



String dynamics

Case $p = 1$:
(string)



String dynamics

- **Nambu-Goto action:**

$$S = -T \int \sqrt{-\det h} d^{p+1} \xi$$

- measures worldsheet area

- T : brane tension, dimension $(\text{mass})^{p+1}$

- Induced brane metric: $h_{\alpha\beta} = \partial_\alpha X^\mu \partial_\beta X_\mu \equiv \partial_\alpha X^\mu \partial_\beta X^\nu \eta_{\mu\nu}$

- *Equivalent description:* **Polyakov action**

$$S = -\frac{T}{2} \int d^{p+1} \xi \sqrt{-\det h} \{h^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X_\mu - (p-1)\}$$

- $h_{\alpha\beta}$: independent variable

- Symmetries:

- global Lorentz invariance
- local reparametrization invariance
- Quantization much easier than for Nambu-Goto action

String dynamics

- Case p=0 (particle)

Nambu-Goto action:

$$S = -m \int d\xi \sqrt{-\eta_{\mu\nu} \dot{X}^\mu \dot{X}^\nu}$$
$$P_\mu \equiv \frac{\partial L}{\partial \dot{X}^\mu} = -m \frac{\eta_{\mu\nu} \dot{X}^\nu}{\sqrt{-\eta_{\rho\sigma} \dot{X}^\rho \dot{X}^\sigma}}$$

It follows that $P_\mu P^\mu = -m^2$, representing a relativistic particle with mass m .

The equations of motion yield $\dot{P}_\mu = 0$, so the particle is free.

String dynamics

Polyakov action:

$$S = -\frac{m}{2} \int d\xi \sqrt{-h} \left(h^{-1} \eta_{\mu\nu} \dot{X}^\mu \dot{X}^\nu + 1 \right)$$

Here h is taken to be a new, independent function of ξ .

Equations of motion: $h = \eta_{\mu\nu} \dot{X}^\mu \dot{X}^\nu$

Substituting back yields Nambu-Goto action.

S is invariant under **reparametrizations** of worldline $\xi \rightarrow \xi'(\xi)$
under which

$$h(\xi) = h'(\xi') \left(\frac{d\xi'}{d\xi} \right)^2$$

Can use this to fix $h(\xi)$ to a constant. Then the equations of motions for X^μ yield

$$\ddot{X}^\mu = 0 \quad \Rightarrow \quad X^\mu(\xi) = x_0^\mu + p^\mu \xi$$

String dynamics

- Case p=1 (string)

- String parameter $\xi^\alpha = (\tau, \sigma)$
- Can fix to conformally flat string metric: $h_{\alpha\beta}(\sigma, \tau) = e^{\Phi(\sigma, \tau)} \eta_{\alpha\beta}$
 - Φ : scale factor
 - For p=1 action has additional symmetry: **Weyl scaling** of the 2-d metric. This allows to set $\Phi = 0$

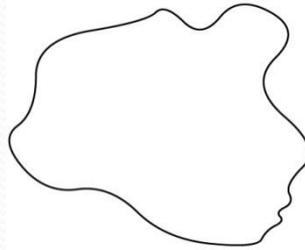
- String equations of motion and constraints corresponding to reparametrization invariance:

$$\begin{aligned} \partial_\alpha \partial^\alpha X^\mu &= 0 \\ T_{\alpha\beta} = \partial_\alpha X^\mu \partial_\beta X_\mu - \frac{1}{2} \eta_{\alpha\beta} (\partial X)^2 &= 0 \end{aligned}$$

- Solution: introduce **light-cone variables**: $\tau, \sigma \rightarrow \sigma_\pm = \tau \pm \sigma$.
 - $\partial_+ \partial_- X^\mu = 0$ $X^\mu(\sigma_\pm) = X_L^\mu(\sigma_+) + X_R^\mu(\sigma_-)$
 - constraints: $(\partial_+ X_L)^2 = (\partial_- X_R)^2 = 0$

String dynamics

- Closed strings



- Subject to periodicity condition: $X^\mu(\tau, \sigma) = X^\mu(\tau, \sigma + 2\pi)$

- General solution:

$$X^\mu = X_0^\mu + P^\mu \tau + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \frac{1}{n} \{ a_n^\mu e^{-in(\tau+\sigma)} + \tilde{a}_n^\mu e^{-in(\tau-\sigma)} \}$$

- Units with $T = \frac{1}{2\pi}$
- Reality condition for X^μ : $(a_n^\mu)^\dagger = a_{-n}^\mu$
- Canonical quantization: $[X^\mu(\tau, \sigma), \dot{X}^\nu(\tau, \sigma')] = 2i\pi\delta(\sigma - \sigma')\eta^{\mu\nu}$

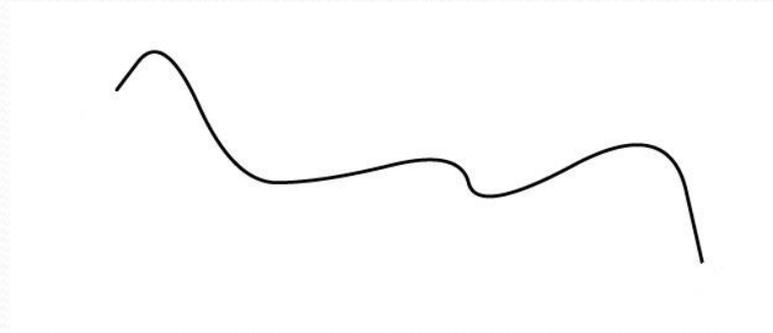
implying: $[a_m^\mu, a_n^\nu] = [\tilde{a}_m^\mu, \tilde{a}_n^\nu] = m\delta_{m+n,0}\eta^{\mu\nu}$

$$[\tilde{a}_m^\mu, a_n^\nu] = 0 \quad , \quad [X_0^\mu, P^\nu] = i\eta^{\mu\nu} .$$

So we can identify a_n^μ, \tilde{a}_n^μ ($a_{-n}^\mu, \tilde{a}_{-n}^\mu$) for $n > 0$ with annihilation (creation) operators \implies harmonic oscillators!

String dynamics

- Open strings



- Lorentz-invariant boundary condition (**Neumann**): $\partial_\sigma X^\mu|_{\sigma=0,\pi} = 0$
- General solution:

$$X^\mu = X_0^\mu + P^\mu \tau + i\sqrt{2} \sum_{n \neq 0} \frac{1}{n} a_n^\mu e^{-in\tau} \cos(n\sigma)$$

- Can be obtained by identifying closed string left- and right movers:

$$a \equiv \tilde{a}$$

String dynamics

- **Free open string spectrum**

- Define vacuum of momentum p :

$$a_n^\mu |p\rangle = 0 \quad \tilde{a}_n^\mu |p\rangle = 0 \quad P^\mu |p\rangle = p^\mu |p\rangle$$

- Physical states are obtained by acting with creation operators
- Have to require that physical states $|\text{phys}\rangle$ satisfy the constraints

$$L_m |\text{phys}\rangle = 0 \quad (m > 0)$$

$$(L_0 - a) |\text{phys}\rangle = 0$$

where the Virasoro generators (string reparametrization group) are

$$L_m = \frac{1}{2\pi} \int_0^{2\pi} d\sigma e^{im\sigma} (\partial_+ X)^2 = \frac{1}{2} \sum_{n=-\infty}^{\infty} : a_{m-n} \cdot a_n :$$

$$L_0 = \frac{1}{2\pi} \int_0^{2\pi} d\sigma (\partial_+ X)^2 = \frac{1}{4} p^2 + \sum_{n>0} a_{-n} \cdot a_n = \frac{1}{4} p^2 + N$$

String dynamics

- a is a normal ordering constant
- Can prove that constraints eliminate negative-norm states from spectrum (example $\|a_{-m}^\mu|p\rangle\|^2 = m\eta^{\mu\mu} < 0$ if $\mu = 0$)
- Physical excitations essentially transverse
- Mass formula: $-\frac{1}{4}p^2 = N - a$
- First excited state $a_{-1}^\mu|p\rangle$ with $N = 1$ is a spacetime vector with $D - 2$ transverse independent components. Lorentz invariance implies it must be massless, thus $a = 1$
- Obtain string spectrum “tower”:

$N = 0$	$ p\rangle$	$-\frac{1}{4}p^2 = -1$	(tachyon)
$N = 1$	$a_{-1}^\mu p\rangle$	$-\frac{1}{4}p^2 = 0$	(massless)
$N = 2$	$\left\{ \begin{array}{l} a_{-1}^\mu a_{-1}^\nu p\rangle \\ a_{-2}^\mu p\rangle \end{array} \right.$	$-\frac{1}{4}p^2 = 1$	(massive)

- Regge trajectory:
$$\frac{1}{4}M^2 = \frac{(J - 1)}{\alpha'}$$

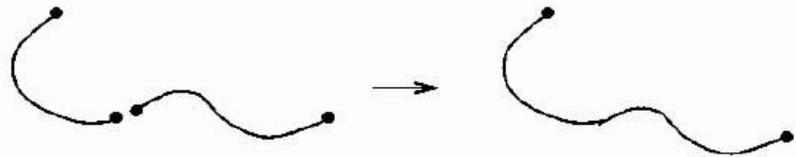
String dynamics

- Careful analysis of quantum algebra of Virasoro generators (conformal symmetry) reveals that one has to choose spacetime dimension $D = 26!$
- **Closed string spectrum:**
 - Direct product of right- and leftmovers
 - Constraints imply $M_L^2 = M_R^2$
 - *Example:* massless level: $a_{-1}^\mu \tilde{a}_{-1}^\nu |p\rangle$
 - Contains spin-2 graviton (symmetric traceless) $G_{\mu\nu}$, scalar dilaton (trace) ϕ and Kalb-Ramond field (antisym. tensor) $B_{\mu\nu}$.
 - Thus gravity is unavoidable in string theory!
- **Open string spectrum:**
 - Can introduce additional quantum numbers associated to ends: “Chan-Paton factors”. Massless vector becomes (non-abelian) gauge field.

String dynamics

- String interactions

Open strings joining/splitting



Open string to closed string

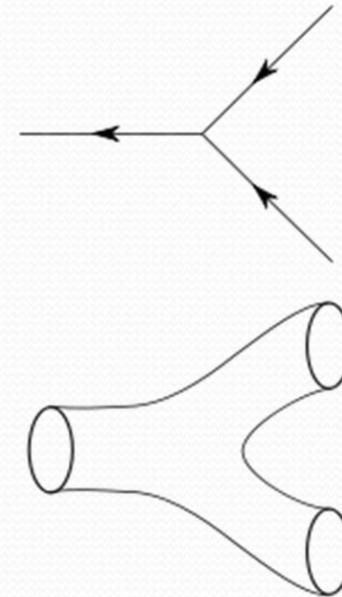
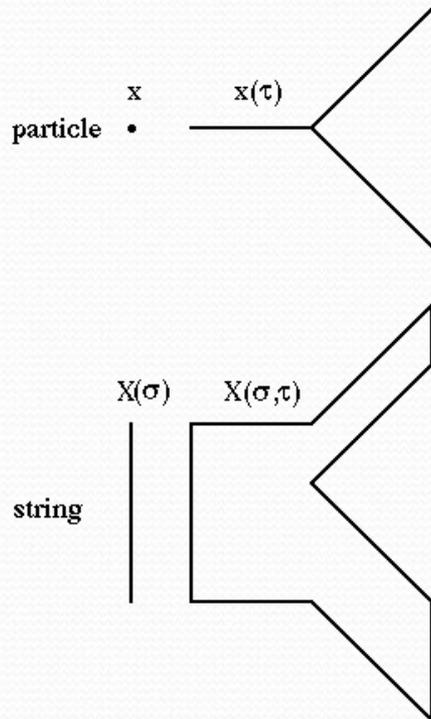


Closed strings joining /splitting



String dynamics

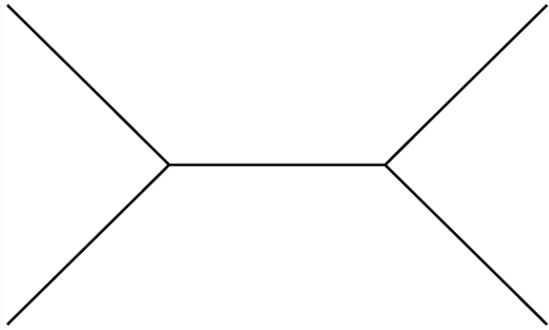
- String interactions: world sheet view



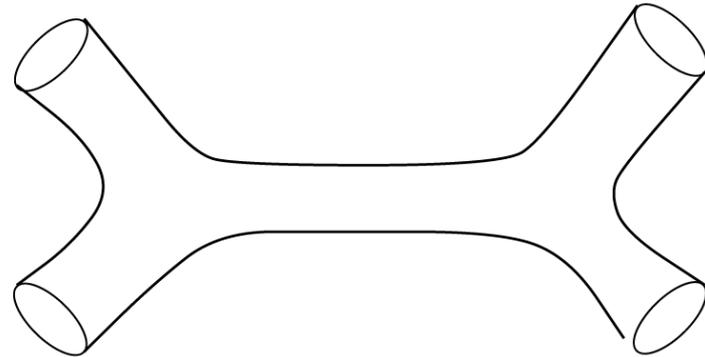
String dynamics

- String (Feynman-)diagrams

particle scattering:

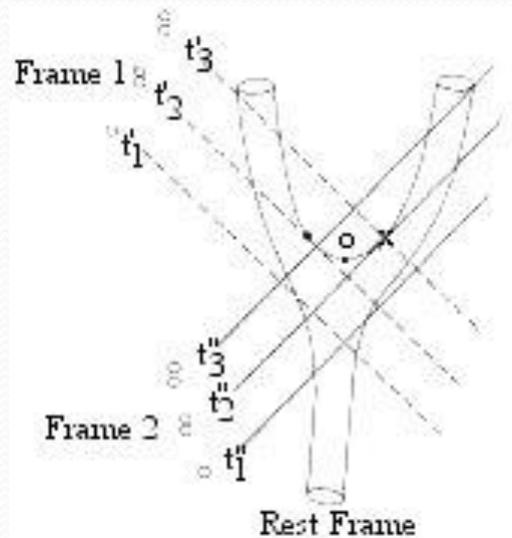


string scattering:



String dynamics

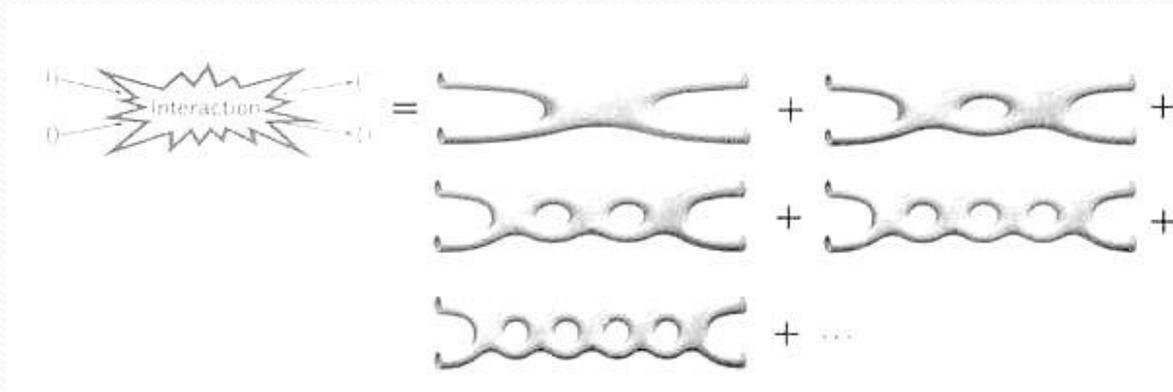
- String interaction **not localized** in unique singular point of world sheet!!



- String interaction **uniquely defined** by smooth world sheet (and topology of diagram)

String dynamics

- **String amplitude** sum over all possible diagrams with given external states and varying topologies



- Smooth interaction assures string perturbation theory is **free of ultra-violet (short distance) divergences**

Effective field theories

- **Low energy effective actions**
 - At energies much lower than string scale \sqrt{T} can integrate out massive modes \implies effective action for massless modes
 - Most convenient approach: consider string propagation in presence of massless background fields. World-sheet action becomes a so-called **nonlinear sigma model**:

$$S = -\frac{1}{4\pi} \int d^2\xi \left[G_{\mu\nu}(X) \partial_\alpha X^\mu \partial^\alpha X^\nu + B_{\mu\nu}(X) \epsilon^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X^\nu - \phi(X) \mathcal{R}^{(2)} + A_\mu^a(X) J_\alpha^\mu + \dots \right]$$

- $G_{\mu\nu}, B_{\mu\nu}, \phi, A_\mu^a, \dots$: backgrounds for massless fields
 - $G_{\mu\nu}$: metric; ϕ : dilaton A_μ^a : gauge fields
 - $B_{\mu\nu}$: Kalb-Ramond field (antisymmetric tensor)

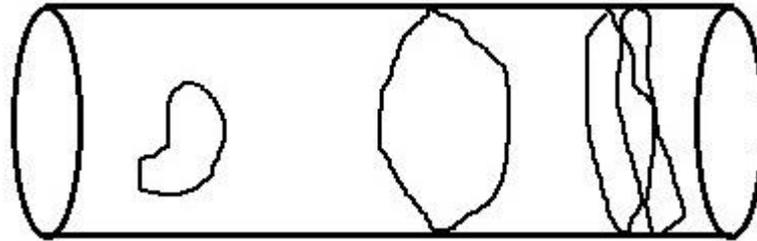
Effective field theories

- Imposing conformal invariance yields equations of motion for background fields, which can in turn be derived from effective action:

$$S^{26} \propto \int d^{26} X \sqrt{g} e^{-2\phi} \left[R - 4D_\mu \phi D^\mu \phi + \frac{1}{12} H_{\mu\nu\rho} H^{\mu\nu\rho} \right]$$

- Here R is the Ricci scalar (Einstein-Hilbert action),
- $H_{\mu\nu\rho} = \partial_\mu B_{\nu\rho} + \partial_\nu B_{\rho\mu} + \partial_\rho B_{\mu\nu}$ is the field strength for the Kalb-Ramond field

T-duality



- **Excess** of space-time dimensions: can apply **compactification**
- Simplest procedure: apply **periodicity condition**:

$$X \equiv X + 2\pi R$$

- Then free string solution generalizes to include **winding modes**:

$$X(\sigma, \tau) = X_L(\sigma_+) + X_R(\sigma_-), \quad \sigma_{\pm} = \tau \pm \sigma$$
$$X_L(\sigma_+) = \frac{1}{2}x_0^L + \frac{1}{2}(p + w)\sigma_+ + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \alpha_n e^{-in\sigma_+}$$
$$X_R(\sigma_-) = \frac{1}{2}x_0^R + \frac{1}{2}(p - w)\sigma_- + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \tilde{\alpha}_n e^{-in\sigma_-}$$

- Here p and w are quantized: $p = m/R$ $w = nR$

T-duality

- Closed strings

- Define **left- and right momenta** for compact direction:

$$p_{L,R} = \frac{m}{R} \pm nR$$

- Mass in 24+1 uncompactified dimensions becomes:

$$-\frac{1}{4}p^2 = \frac{1}{4}p_L^2 + N_L - 1 = \frac{1}{4}p_R^2 + N_R - 1$$

- Spectrum is invariant under **T-duality**:

$$X_L \rightarrow X_L, X_R \rightarrow -X_R \quad \Rightarrow \quad R \rightarrow \frac{1}{R}, m \leftrightarrow n$$

- T-duality exact symmetry to all orders in string perturbation theory
- For special values of R there can be extra massless modes:
enhanced gauge symmetry

T-duality

- **Open strings**

- Imposing Neumann b.c. $\partial_\sigma X|_{\sigma=0,\pi} = 0$ implies $n = 0$ (so **no windings**)

- T-duality effectively interchanges $\sigma \leftrightarrow \tau$, thus boundary condition becomes **Dirichlet**:

$$\partial_\tau X|_{\sigma=0,\pi} = 0$$

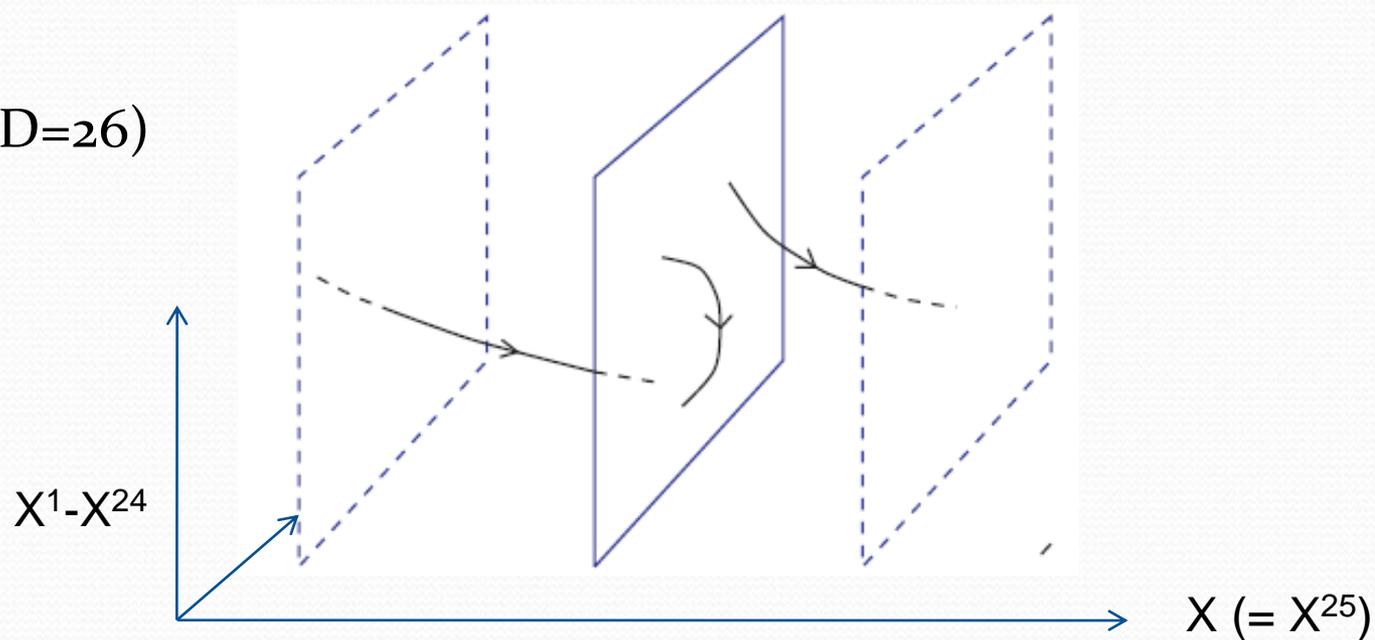
- Ends of string fixed (at particular points of circle)
- Coordinate expansion becomes:

$$\begin{aligned} X' &= X_R - X_L \\ &= X'_0 + 2\frac{n}{R}\sigma - \sqrt{2} \sum_{m \neq 0} \frac{1}{m} \alpha_m e^{-im\tau} \sin m\sigma \end{aligned}$$

- Can in fact choose Neumann (N) or Dirichlet (D) b.c. independently for each string end: **NN, ND, or DD**

T-duality

(D=26)



- **Result:** open strings end on 24-dim hyperplane embedded in 25 space dimensions: **D24-brane**
 - Examples have winding nr. 0 and 1 (shaded planes are identified)

T-duality

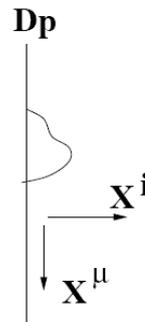
- Procedure can be generalized to arbitrary subset of dimensions
- **D-brane:** surface on which open strings can end
 - **D_p-brane:**
 - Neumann b.c. for longitudinal directions $X^{\mu=0,\dots,p}$
 - Dirichlet b.c. for transverse directions $X^{I=p+1,\dots,25}$
 - Examples:
 - D₀-brane: point
 - D₁-brane: string
 - D₂-brane: membrane
 - D₂₅-brane: space filling (“canonical case” for open strings)
 - T-duality along transverse dir.: $D_p\text{-brane} \Leftrightarrow D(p+1)\text{-brane}$
 - T-duality along longitudinal dir.: $D_p\text{-brane} \Leftrightarrow D(p-1)\text{-brane}$

S-duality

- Duality symmetry implying the interchange:
strong coupling \longleftrightarrow **weak coupling**
- Oldest known example: **Montonen-Olive duality** of Maxwell theory
- Many examples in string theory:
 - Type IIB string theory w/ coupling constant g is equivalent to type IIB string theory w/ coupling constant $1/g$
 - Type I string theory w/ coupling constant g is equivalent to $SO(32)$ heterotic string theory w/ coupling constant $1/g$
 - Type IIA and E8 heterotic string theories w/ coupling constant g are equivalent to M-theory with compact dimension of size g
- S-duality exchanges local charges with topological charges

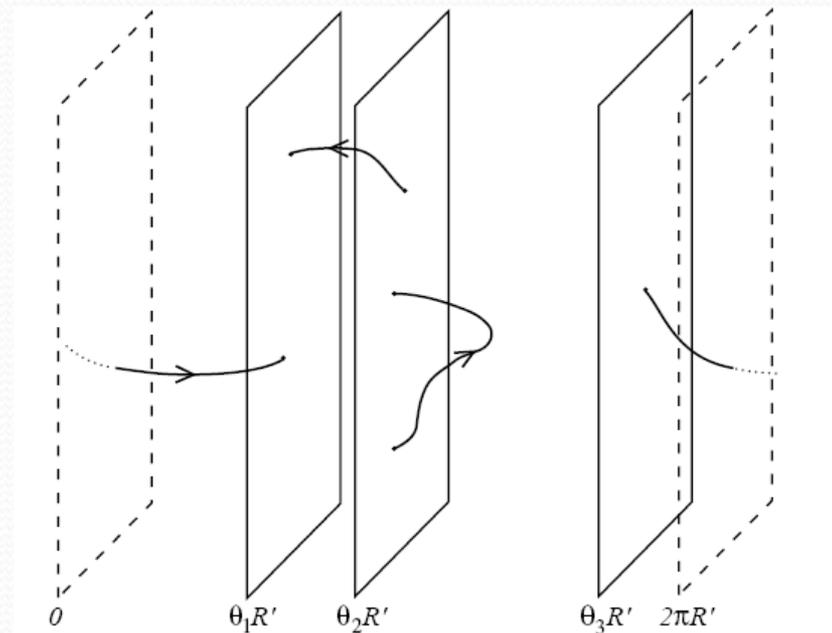
D-branes

- Open strings ending on Dp-brane:
 - Dirichlet b.c. for coordinates normal to Dp-brane
 - Neumann b.c. for coordinates tangential to Dp-brane
- Massless 26 dim. open string vector field $a_{-1}^{\mu} |p\rangle$ (beginning and ending on same Dp-brane) splits into:
 - massless $p+1$ dim. Maxwell field living on brane world-volume
 - massless scalar for each normal direction X^i
 - Correspond to fluctuations of position of Dp-brane. D-branes are **dynamical objects!**



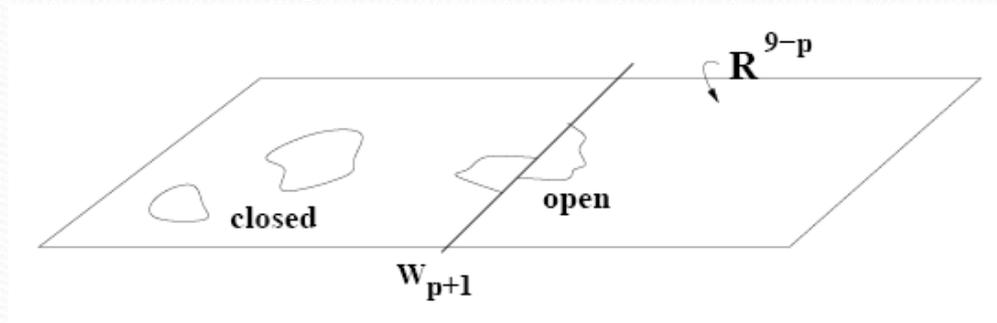
D-branes

- Open strings between **parallel D-branes**
 - String states carry quantum numbers i and j of D-branes
 - Extra contribution to mass^2 for nonzero distance between D-branes
 - For N overlapping D-branes get N^2 instead of N massless vectors:
enhanced symmetry $U(1)^N \rightarrow U(N)$ (Chan-Paton factor)



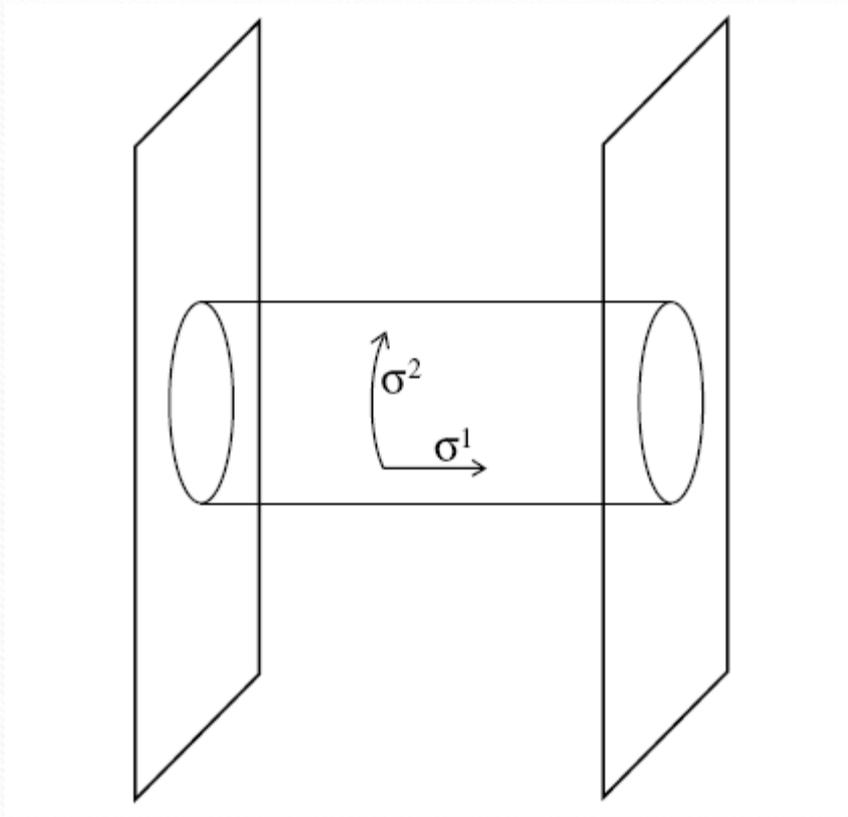
D-branes

- **Closed strings**
 - can move away from D-branes
 - Closed string modes describe fluctuations of bulk space-time around vacuum (gravitons, dilaton modes, etc.)



- Closed strings do interact with D-branes: they can be exchanged between them!

D-branes



Exchange of closed strings between D-branes can be viewed as vacuum loop of open string (Casimir energy)

D-branes

- **String charge**
 - **Maxwell charge** naturally carried by **points** via Wilson line coupling to gauge field:

$$q \int A_\mu(X(\tau)) \frac{dX^\mu(\tau)}{d\tau} d\tau \equiv q \int A_\mu(X) dX^\mu$$

- Similarly, **the string coordinates** naturally couple to **the Kalb-Ramond field** through the coupling

$$- \int d\tau d\sigma \frac{\partial X^\mu}{\partial \tau} \frac{\partial X^\nu}{\partial \sigma} B_{\mu\nu}(X(\tau, \sigma))$$

- Thus, the string carries “**string charge**”

D-branes

- **D-brane charges**

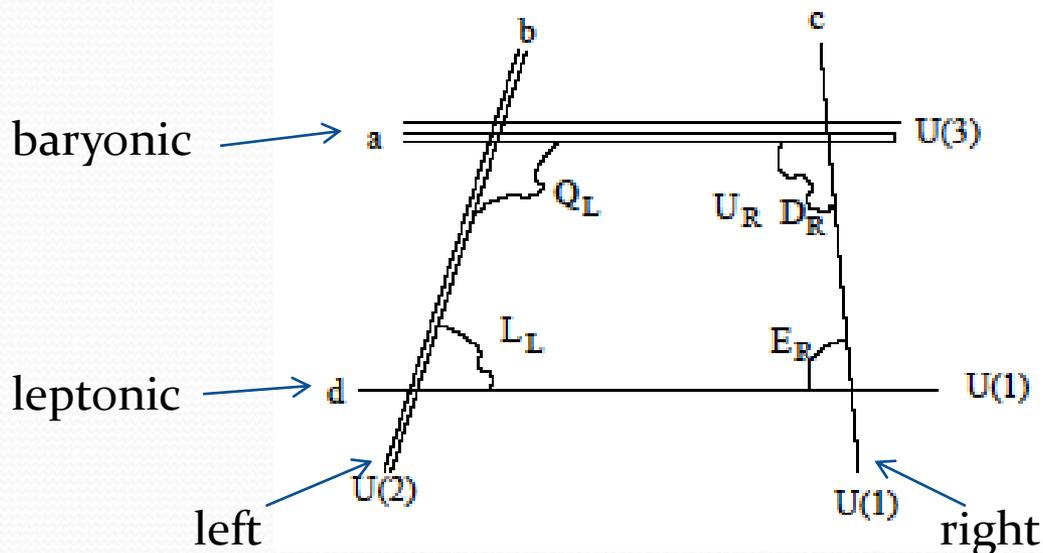
- Dp-brane has (p+1)-dimensional world volume, couples naturally to massless antisymmetric tensor field with p+1 indices ((p+1)-form):

$$S_p = - \int d\tau d\sigma_1 \dots d\sigma_p \frac{\partial X^\mu}{\partial \tau} \frac{\partial X^{\nu_1}}{\partial \sigma^1} \dots \frac{\partial X^{\nu_p}}{\partial \sigma^p} A_{\mu\nu_1 \dots \nu_p} (X(\tau, \sigma^1, \dots, \sigma^p))$$

- **Bosonic string:** only massless antisymmetric tensor is $B_{\mu\nu}$
 - Bosonic string D-branes are uncharged
- **Type II superstrings** have additional antisymmetric tensor fields in RR sector, leading to charged D-branes:
 - Type IIA: 1-form, 3-form \implies D₀, D₂
 - Type IIB: 0-form, 2-form, 4-form \implies D₋₁, D₁, D₃
- Charged D-branes are stable, uncharged D-branes unstable

D-branes

- **Model building using D-branes**
 - Can use configuration of charged D-branes for model building in 4-dim. space-time
 - **Example:** use intersecting D6-branes wrapped on T^6 (leaving $10-6=4$ large dimensions)
 - Fermions are open strings stretched between intersecting D-branes
 - Possible to obtain $SU(3)*SU(2)*U(1)$ Standard Model



Outlook

- String theory is a large program aiming at unification of all interactions including gravity, at the most fundamental level
- Enormous progress has been made the past few decades in numerous different directions. At this point not clear what aspects will turn out to be just mathematics, what real physics
- Many breakthroughs as well as hope that theory will eventually be seriously confronted with experimental data has continued to drive researchers
- Many aspects of string theory have not been treated in this lecture:
 - Superstrings
 - Conformal field theory formulation
 - AdS /CFT correspondence → part 2 of this course, by Miguel Zilhão
 - String thermodynamics and black-hole information paradox
 - String field theory
 - Cosmological backgrounds
 -

Further Literature

Textbooks

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- Joseph Polchinski, *What is String Theory?* Les Houches, 1994. arXiv: hep-th/9411028



Thanks for your attention!

The Superstring

- **Problems with the bosonic string**
 - Phenomenological: Absence of fermions
 - Stability: presence of tachyon
- Solution: **superstring!**
 - **Add fermionic coordinates (Majorana-Weyl):** $\psi_{L,R}^\mu(\sigma, \tau)$
 - Need worldsheet supersymmetry to avoid extra negative-norm states:

$$\begin{aligned}\delta X_L^\mu &= -i\epsilon_R \psi_L^\mu \\ \delta \psi_L^\mu &= \epsilon_R \partial_+ X_L^\mu\end{aligned}$$

- Absence of conformal anomaly implies $D = 10$
- Two possible consistent periodicity conditions:

$$\psi^\mu(\tau, \sigma) = \pm \psi^\mu(\tau, \sigma + 2\pi)$$

- **+: Ramond (R), -: Neveu-Schwarz (NS)**

The Superstring

- General solution (left-movers):

$$\psi_{L,R}^{\mu} = \begin{cases} \sum_n d_n^{\mu} e^{-in(\tau \pm \sigma)} & \text{(R)} \\ \sum_r b_r^{\mu} e^{-ir(\tau \pm \sigma)} & \text{(NS)} \end{cases}$$

- n: integer; r: half-integer
- Mass formula: $-\frac{1}{4}p^2 = N + N_{\psi} - a$

- NS: $N_{\psi} = \frac{1}{2} \sum_{r \in Z + \frac{1}{2}} : b_{-r} \cdot b_r :, \quad a = \frac{1}{2}$

- R: $N_{\psi} = \frac{1}{2} \sum_{n \in Z} : d_{-n} \cdot d_n :, \quad a = 0$

The Superstring

- **Spectrum**

- NS sector

- Vacuum: $|p\rangle$ with $-(1/4)p^2 = -1/2$ (*tachyon*)

- Massless vector: $b_{-1/2}^\mu |p\rangle$

- R sector

- Fermionic coordinates have zero modes satisfying Clifford algebra (act as gamma matrices): $\{d_0^\mu, d_0^\nu\} = \eta^{\mu\nu}$

- Thus vacuum corresponds to space-time spinor $|p, \alpha\rangle$ dimension $2^{d/2} = 32$. Zero modes act as:

$$i\sqrt{2}d_0^\mu |p, \alpha\rangle = \gamma_{\alpha\beta}^\mu |p, \beta\rangle$$

- World-sheet supersymmetry implies vacuum satisfy 10d massless Dirac equation, reducing dimensionality to 16 (Majorana fermion).

The Superstring

- **Spectrum**

- Gliozzi-Scherk-Olive (GSO) projection

- To resolve problem of tachyonic NS vacuum (instability), one applies the **GSO projection** ($F =$ fermion number):

$$(-)^F = -1$$

- NS sector: eliminates half-integer levels (including tachyon):
bosons
 - R sector: imposes chirality at every level

$$(-)^F \equiv \gamma^{11} (-)^{\sum_{n=1}^{\infty} d_{-n} \cdot d_n}$$

- At massless level: *NS*: massless vector (8 bosonic d.o.f.);
R: Weyl Majorana fermion (8 fermionic d.o.f.)
 - Fermion-boson degeneracy holds at all levels: **space-time supersymmetry**

The Superstring

- **Closed superstrings**
 - Two theories: **type IIA** and **type IIB**, depending on relative chirality of left- and right-movers (opposite or same)
 - **Massless spectrum:** $(|\mu\rangle, |\alpha\rangle_L) \otimes (|\nu\rangle, |\beta\rangle_{L,R})$

bosons	$\left\{ \begin{array}{l} \text{NS-NS: } \mu\rangle \otimes \nu\rangle = 1 + 35_S + 28_A \quad (\text{dilaton, graviton, Kalb-Ramond field}) \\ \text{R-R: } \alpha\rangle \otimes \beta\rangle \stackrel{\text{IIA}}{=} 8_{1\text{-form}} + 56_{3\text{-form}} \\ \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \stackrel{\text{IIB}}{=} 1_{0\text{-form}} + 28_{2\text{-form}} + 35_{4\text{-form}} \end{array} \right.$

- Massless spectra coincide with N=2, D=10 supergravity

The Superstring

- **Open superstrings (type I)**

- Can be defined by taking type IIB superstring and making the (Z_2 -) identification:

$$\sigma \rightarrow -\sigma \quad (L \leftrightarrow R)$$

- Results in twisted and untwisted sector (Z_2 -eigenvalue 1 or -1)
 - *Untwisted sector (unoriented closed strings)*: symmetrized NS-NS sector (dilaton and graviton) and antisymmetrized R-R sector (two-form)
 - *Twisted sector (unoriented open strings)*: quantum consistency (absence of anomalies) requires Chan-Paton factors corresponding to $SO(32)$ gauge group

- **Heterotic strings**

- Tensor product of left-moving superstrings ($D=10$) and right-moving bosonic strings ($D=26$, 16 compactified).
 - Gauge symmetry $SO(32)$ or $E_8 \times E_8$

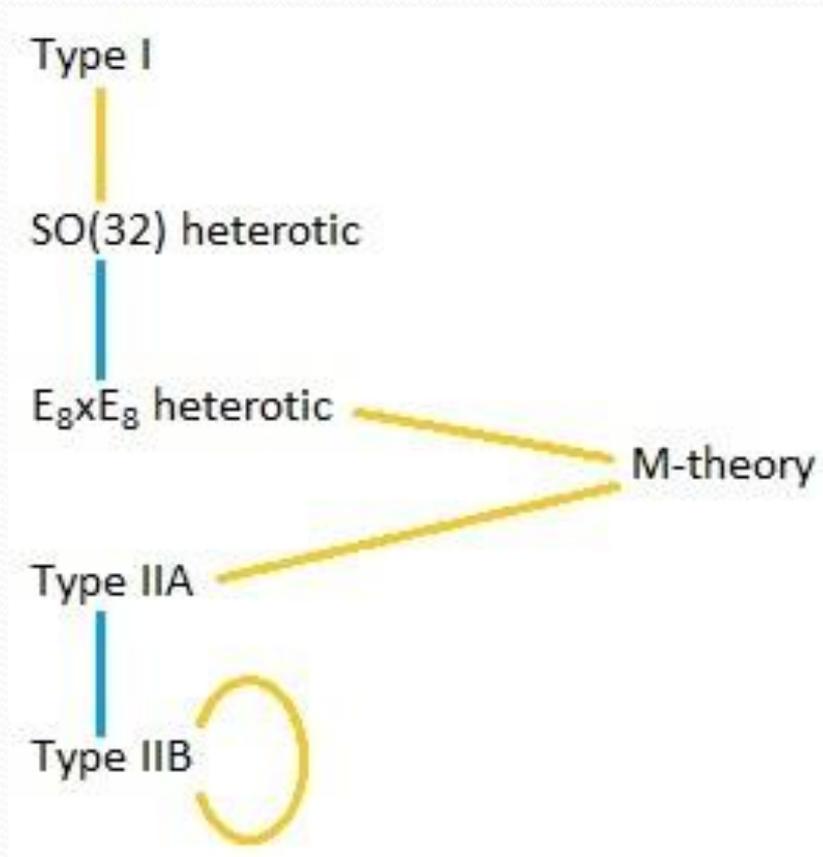
The Superstring

Summary of the 5 theories:

	Type IIA	Type IIB	$E_8 \times E_8$ Heterotic	SO(32) Heterotic	Type I SO(32)
String Type	Closed	Closed	Closed	Closed	Open (+ closed)
Oriented?	Yes	Yes	Yes	Yes	No
$D = 10$ Supersymmetry	$N = 2$ (non-chiral)	$N = 2$ (chiral)	$N = 1$	$N = 1$	$N = 1$
$D = 10$ Gauge groups	none	none	$E_8 \times E_8$	SO(32)	SO(32)
R-R sector	$C^{(1)}C^{(3)}$	$C^{(0)}C^{(2)}C^{(4+)}$	none	none	$C^{(2)}$

The Superstring

Web of duality symmetries between the 5 theories:



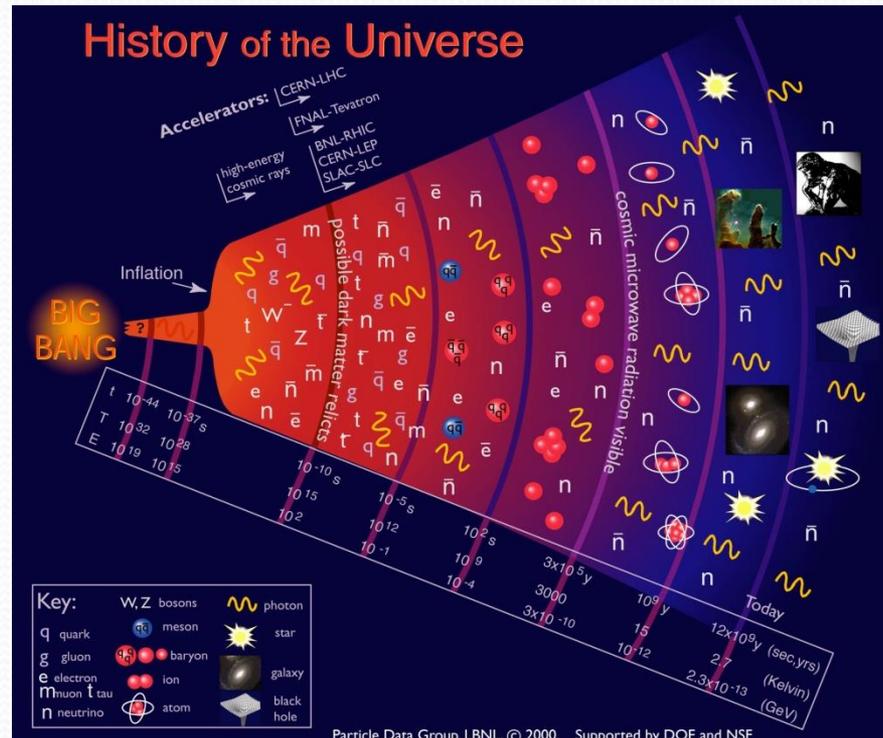
Blue lines: T duality
Yellow lines: S duality

Why String theory?

- Current status of **Elementary Particle Physics**
 - Described by quantum gauge field theory
 - Gauge group $SU(3)_c * SU(2)_w * U(1)_Y$
 - $SU(2)_w * U(1)_Y$ symmetry spontaneously broken to $U(1)$ of Maxwell theory at ~ 100 GeV by Higgs field
 - Experimentally tested up to about 1 TeV (or, down to $\sim 10^{-19}$ m)
 - Chiral matter (Weyl fermions)
 - Mass introduced by Yukawa coupling to Higgs
 - Three families with (almost) massless neutrinos
- Current status of **Gravitational interactions**
 - Described by classical theory: General Relativity
 - Interactions encoded in space-time metric via principle of equivalence, yielding Einstein equations (Einstein-Hilbert action)
 - Typical mass scale $M_p \sim 10^{19}$ GeV
 - Experimentally tested down to $\sim 10^{-7}$ m

Why String theory?

- Combination of Standard Models successfully describes many phenomena in Astrophysics and Cosmology:
 - Star birth and evolution
 - Big bang nucleosynthesis
 - Large scale structure formation based on inflation and cold dark matter



Why String theory?

- Many questions remain:
 - Dual description unsatisfactory: would like gravitational interactions consistent at quantum level. How?
 - Can all interactions be described in unified setup?
 - Why are there two widely different mass scales?
 - Are there other scales between M_W and M_p ?
 - Why gauge sector as it is? Why 3 families?
 - Why is the proton stable? (Is it?)
 - Why 4 dimensions?
 - Why is the cosmological constant so small?
 - Why,,

Why String theory?

Some proposals for **Physics beyond the Standard Model**:

1. Grand Unified Theories (GUT's)

- Standard model gauge group remnant of larger simple group
- Broken at GUT scale $\sim 10^{16}$ GeV (if no new physics above M_W)
- Partially explains fermion quantum numbers
- Proton decay usually possible

2. Supersymmetry (SUSY)

- Global symmetry relating bosonic and fermionic degrees of freedom
- Boson/fermion partners have equal mass
- Mass of chiral fermion is forced to be zero
 - Explanation of low-mass fermions
- So far SUSY partners of known fermions not observed
 - SUSY must be broken at ~ 1 TeV (problematic)

Why String theory?

3. Supergravity

- Supersymmetry realized as local gauge symmetry, together with diffeomorphism invariance (General Relativity)
- Includes gravitino and SUSY matter
- SUSY breaking can be accomplished spontaneously at ~ 1 TeV
- Does **not** solve quantum gravity problem (UV divergent)

4. Extra dimensions

- i. Kaluza-Klein theories: 4-D gauge bosons as components of metric tensor in higher D
 - Problematic for phenomenology (S-M gauge group, chiral fermions)
- ii. Brane-world models: Standard Model lives on brane in $D > 4$ space-time, while gravity propagates in 'bulk'
 - Present bound: extra dimensions < 0.1 mm (big!):
 - Allows for large 4-dim M_p from much smaller D-dim M_p (possible explanation of hierarchy problem!)
 - Treats gravity classically